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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

J1033 U.S. PTO
05/03/01

J1033 U.S. PTO
09/848600
05/03/01

Applicants: Peter Watts and Lisbeth Illum

Serial No.: Continuation of 08/776,470

Express Mail Label

No.: EK 781 253 823 US

Date of Deposit: May 3, 2001

Filed: May 1, 2001

For: ANTIVIRAL ICAM-1 COMPOSITIONS IN A BIOADHESIVE
FORMULATION FOR NASAL ADMINISTRATION

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR FILING A
CONTINUATION APPLICATION UNDER 37 C.F.R. §1.53(b)

Sir:

This is a Request for Filing a Continuation application under 37 C.F.R. §1.53(b) of pending prior application U.S. Serial No. 08/776,470 filed March 28, 1997, by Peter Watts and Lisbeth Illum, for "antiviral ICAM-1 Compositions in a Bioadhesive Formulation for Nasal Administration", which is a 371 of International Application No. PCT/GB95/01735 filed July 24, 1995, in the United Kingdom Receiving office for the Patent Cooperation Treaty, which claims priority to United Kingdom application No. 9414966.3 filed July 26, 1994.

This application includes 1 page of abstract, 16 pages of specification, 2 pages of claims, and a copy of the executed Combined Declaration for Patent application and Power of Attorney from prior application U.S.S.N. 08/776,470.

A Preliminary Amendment is enclosed.

09848600-050301

Continuation of U.S.S.N. 08/776,470
Filed: May 3, 2001
Express Mail Label No.: EK 781 253 823 US
Date of Deposit: May 3, 2001
REQUEST FOR FILING CONTINUATION APPLICATION

Enclosed from prior application U.S.S.N. 08/776,470 is a copy of the recorded Change of Name from Danbiosyst UK Limited to West Pharmaceutical Services Drug Delivery & Clinical Research Centre Limited, recorded February 1, 2000, at Reel 010567, Frame 0980. The attorney of record in the prior application is Patrea L. Pabst, Reg. No. 31,284. An executed Power of Attorney by Assignee of Entire Interest is enclosed. Please address all future correspondence to:

Patrea L. Pabst
Holland and Knight LLP
2000 One Atlantic Center
1201 W. Peachtree Street
Atlanta, GA 30309-3400
(404) 873-8473 fax (404) 881-0471
email: ppabst@hklaw.com

This application is not entitled to claim small entity status pursuant to 37 C.F.R. § 1.27. A check in the amount of \$710.00 is enclosed to cover the filing fee for a large entity. It is believed that \$710.00 is the proper filing fee, as the application contains 3 independent claims and a total of 12 claims upon entry of the enclosed Preliminary Amendment. The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Deposit Account No. 50-1667. A duplicate of this request is enclosed to facilitate this purpose.

This application is being filed on May 3, 2001, by mailing the application to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231, via the United States Postal Service "Express Mail Post Office to Addressee" service under

003418600-0503101

Continuation of U.S.S.N. 08/776,470
Filed: May 3, 2001
Express Mail Label No.: EK 781 253 823 US
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REQUEST FOR FILING CONTINUATION APPLICATION

37 C.F.R. § 1.10. The Express Mail label number appears in the heading of this paper, which is attached to the application, pursuant to 37 C.F.R. § 1.10(b).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,



Patrea L. Pabst
Reg. No. 31,284

Date: May 3, 2001
HOLLAND & KNIGHT LLP
One Atlantic Center
1201 West Peachtree Street, N.E.
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(404) 881-0471

009413600-050301

Continuation of U.S.S.N. 08/776,470
Filed: May 3, 2001
Express Mail Label No.: EK 781 253 823 US
Date of Deposit: May 3, 2001
REQUEST FOR FILING CONTINUATION APPLICATION

CERTIFICATE OF SERVICE UNDER 37 C.F.R. § 1.10

I hereby certify that this Request for Filing a Continuation Application Under 37 C.F.R. § 1.53(b), and any documents referred to as attached therein are being deposited with the United States Postal Service on this date, May 3, 2001, in an envelope as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label No. EK 781 253 823 US addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.



Patrea L. Pabst

Date: May 3, 2001

ATL1 #384043 v1

009843600-050301

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	continuation 08/776,410
Filing Date	May 3, 2001
First Named Inventor	Peter Watts
Examiner Name	P. Gambel
Group Art Unit	1644
Attorney Docket No.	WC 111

TOTAL AMOUNT OF PAYMENT (\$) 710.00

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50 - 1667

Deposit Account Name: HOLLAND AND KNIGHT LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	710
106 320	206 160	Design filing fee	0.00
107 490	207 245	Plant filing fee	0.00
108 710	208 355	Reissue filing fee	0.00
114 150	214 75	Provisional filing fee	0.00

SUBTOTAL (1) (\$710.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
10	- 20 = 0	x	0.00
Independent Claims	3	- 3 = 0	x
Multiple Dependent			0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0.00)

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)-

SUBMITTED BY

Name (Print/Type)	Patrea L. Pabst	Registration No. (Attorney/Agent)	31,284	Telephone	404-873-8794
Signature		Date	April 30, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Peter Watts and Lisbeth Illum

Serial No.: 08/776,470

Art Unit: 1642

Filed: March 28, 1997

Examiner: Not yet assigned

For: *DRUG DELIVERY COMPOSITION FOR THE NASAL
ADMINISTRATION OF ANTIVIRAL AGENTS*

U.S. Patent & Trademark Office
Office of Public Record
Crystal Gateway 4, Room 335
Washington, D.C. 20231

**REQUEST FOR A CORRECTED
NOTICE OF RECORDATION OF ASSIGNMENT**

Sir:

Applicants respectfully request issuance of a corrected Notice of Recordation of Assignment to show the patent application as U.S. Serial Number 08/776,470, filed March 28, 1997, not 08/776,480, filed January 23, 1997. To facilitate this request, the following items are enclosed: (1) A copy of the Notice of Recordation of Assignment received (with corrections noted in red) and Form PTO 1619A; (2) a corrected Form PTO-1619A; (3) a copy of the Assignment mailed on March 3, 1997; (4) the returned postcard, showing the U.S. application Serial Number as 08/776,470; (5) Form PCT/DO/EO/903, Notification of Acceptance of Application under 35 U.S.C. § 371, showing the filing date of the application as March 28, 1997; and (6) a check in the amount of \$40.00 for re-recordation of the assignment.

Respectfully submitted,



Patrea L. Pabst
Reg. No. 31,284

Date: May 8, 1998
ARNALL GOLDEN & GREGORY, LLP
2800 One Atlantic Center
1201 West Peachtree Street
Atlanta, Georgia 30309-3450
404/873-8794
404/873-8795 (fax)

The "Received" stamp of the Patent Office imprinted hereon acknowledges the filing of: **08/776470**

Applicant: Peter Watts & Lisbeth Illum

Serial & Docket No. DAN101

Filed: 24 January 1997 Express Mail #EM470185568US

Papers Submitted:

Express Mail Transmittal Letter for Patent Application and Certificate of Mailing by Express Mail Label #EM470185568US (in duplicate), Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (in duplicate), unexecuted Combined Declaration, Preliminary Amendment, copy of International Application PCT/GB95/01735, check in the amount of \$520.00

Date: 24 January 1997 **42 Rec'd PCT/PTO 24 JAN 1997**

By: Patrea L. Pabst, Reg. No. 31,284

1-800-555-0134